

STIC Database Tracking Number:

To: **STEFANOS KARMIS**
Location: **KNX 4A35**
Art Unit: **3600**
Date: **March 22, 2010**
Case Serial Number: **09/802,701**

From: *Sylvia Keys*
Location: **EIC3600**
KNX 4B59
Phone: **(571) 272-3534**
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Search Notes

Dear Examiner **KARMIS:**

Please find attached the results of your search for the above-referenced case. The search was conducted in Dialog, the Internet and EBSCO HOST.

I have listed *potential* references of interest in the first part of the search results. However, please be sure to scan through the entire report. There may be additional references that you might find useful.

If you have any questions about the search, or need a refocus, please do not hesitate to contact me.

Thank you for using the EIC, and we look forward to your next search!

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I. Potential References of Interest

A. Dialog

27/3,K/1 (Item 1 from file: 349)
DIALOG(R) File 349: PCT FULLTEXT
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00939226 **Image available**

ONLINE TRADING SYSTEM HAVING REAL-TIME ACCOUNT OPENING
SYSTEME COMMERCIAL EN LIGNE DOTE D'UNE OUVERTURE DE COMPTE EN TEMPS REEL

Patent Applicant/Assignee:

E* TRADE GROUP INC, 4500 Bohannon Drive, Menlo Park, CA 94025, US, US
(Residence), US (Nationality)

Inventor(s):

GREENE David, 1818 Church Street,
San Francisco, CA 94131, US,

MIERZWA Dennis, 570 Dover Drive,
Walnut Creek, CA 94598, US,

ABUKHATER Ayman, 22066 Hibiscus
Drive, Cupertino, CA 95014, US,

SI EVERT Michael, 17705 Vista
Avenue, Monte Sereno, CA 95030, US,

Legal Representative:

HEIM Michael F (et al) (agent), Conley, Rose & Tyon, P.C., Suite
1800, 600 Travis Street, Houston, TX 77002-2912, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200273350 A2-A3 20020919 (WO 0273350)

Application: WO 2002US7149 20020308 (PCT/WO US0207149)

Priority Application: US 2001802701 ~~20010309~~

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI
SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 6105

Inventor(s):

GREENE David, ...

...MI ERZWA Dennis, ...

...ABUKHATER Ayman, ...

...SIEVERT Michael,

Patent Applicant/Inventor:

Fulltext Availability:

Detailed Description

Claims

English Abstract

...account database for the contact information (404); (c) obtaining brokerage account application information; (d) updating the new record with the application information; (e) displaying a **brokerage account** contract; and (f) securing **online** agreement to said **brokerage account** contract. The process preferably also includes obtaining funding information to automatically initiate a transfer of funds to the brokerage account (608).

Detailed Description

... investors to participate in the stock market, and consumer response to the availability of online trading has been phenomenal. In 1999 alone, the number of **online brokerage accounts** grew by over 100%, so that by the end of 1999 there were well over 10 million **online brokerage accounts**. The increased number of investors and increased trading volume has encouraged many brokerages to compete for market share by reducing trading costs. Of course, brokerages...

...brokerage account database for the contact information; (c) obtaining brokerage account application information; (d) updating the new record with the application information; (e) displaying a **brokerage account** contract; and (f) securing **online** agreement to said **brokerage account** contract. The process preferably also includes obtaining funding information to automatically initiate a transfer of funds to the brokerage account.

The present invention further contemplates...

Claim

... in the brokerage account database reflecting said contact information;
obtaining brokerage account application information;
- 15
updating said new record in accordance with TgAd information;
displaying a **brokerage account**

contract; and
securing **online** agreement to said
brokerage account contract.

8 The system of claim 7, wherein the real-time account opening process
further
includes:
after securing said online agreement, obtaining funding information
relating...

II. Inventor Search Results from Dialog

23/3,K/1 (Item 1 from file: 350)
DIALOG(R)File 350: Derwent WPIX
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0012801266 - Drawing available
WPI ACC NO: 2002-657972/200270
XRPX Acc No: N2002-520156

Securing an ownership interest in a publicly traded corporation e.g. for
online trading system, where real-time account opening process is
implemented

Patent Assignee: ABUKHATER A (ABUK-I); E* TRADE GROUP INC (ETRA-N); GREENE
D (GREE-I); MIERZWA D (MIER-I); SIEVERT M (SIEV-I)

Inventor: **ABUKHATER A**; GREEN D; **GREENE
D**; **MIERZWA D**; **SIEVERT M**

Patent Family (4 patents, 98 countries)

Patent Application

Number	Kind	Date	Number	Kind	Date	Update
WO 2002073350	A2	20020919	WO 2002US7149	A	20020308	200270 B
US 20020143686	A1	20021003	US 2001802701	A	20010309	200272 E
AU 2002248581	A1	20020924	AU 2002248581	A	20020308	200433 E
AU 2002248581	A8	20051020	AU 2002248581	A	20020308	200615 E

Priority Applications (no., kind, date): US 2001802701 A 20010309

Patent Details

Number Kind Lan Pg Dwg Filing Notes

WO 2002073350 A2 EN 23 6

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY
BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID
IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ
NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN
YU ZA ZM ZW

Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH
GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW

AU 2002248581 A1 EN Based on OPI patent WO 2002073350

AU 2002248581 A8 EN Based on OPI patent WO 2002073350

Inventor: **ABUKHATER A...**

...GREENE D...

...MIERZWA D...

...SIEVERT M

Original Publication Data by Authority

Argentina

Assignee name & address:

Inventor name & address:

SIEVERT M...

...MI ERZWA D...

...ABUKHATER A...

...MI ERZWA D...

...GREENE D...

...ABUKHATER A...

...SI EVERT M...

...Greene, David...

...Mierzwa, Dennis...

...Abukhater, Ayman...

...Sievert, Michael...

...MI ERZWA, Dennis...

...ABUKHATER, Ayman...

...SI EVERT, Michael

Examiner:

Original Abstracts:

...the contact information; (c) obtaining brokerage account application information; (d) updating the new record with the application information; (e) displaying a brokerage account contract; and (f)

securing online agreement to said

brokerage account contract. The

process preferably also includes

obtaining funding information to automatically initiate a transfer of funds to the brokerage account...

...information; (c) obtaining brokerage account application information;

(d) updating the new record with the application information; (e)

displaying a brokerage account contract; and (f) securing

online agreement to **said**

brokerage account contract. The

process **preferably** also includes obtaining

funding information to automatically

initiate a transfer of funds to the brokerage account...

Claims:

27/3,K/1 (Item 1 from file: 349)

DIALOG(R)File 349: PCT FULLTEXT

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00939226 ** Image available**

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SYSTEME COMMERCIAL EN LIGNE DOTE D'UNE OUVERTURE DE COMPTE EN TEMPS REEL

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Walnut Creek, CA 94598, US,

ABUKHATER Ayman, 22066 Hibiscus
Drive, Cupertino, CA 95014, US,

SIEVERT Michael, 17705 Vista
Avenue, Monte Sereno, CA 95030, US,

Legal Representative:

HEIM Michael F (et al) (agent), Conley, Rose & Tyon, P.C., Suite
1800, 600 Travis Street, Houston, TX 77002-2912, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200273350 A2-A3 20020919 (WO 0273350)

Application: WO 2002US7149 20020308 (PCT/WO US0207149)

Priority Application: US 2001802701 20010309

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(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI
SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 6105

Inventor(s):

GREENE David, ...

...**MIERZWA Dennis**, ...

...**ABUKHATER Ayman**, ...

...**SIEVERT Michael**,

Patent Applicant/Inventor:

Fulltext Availability:

Detailed Description

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...brokerage account database for the contact information; (c) obtaining brokerage account application information; (d) updating the new record with the application information; (e) displaying a **brokerage account** contract; and (f) securing **online** agreement to said **brokerage account** contract. The process preferably also includes obtaining funding information to automatically initiate a transfer of funds to the brokerage account.

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obtaining brokerage account application information;
- 15
updating said new record in accordance withTgAd information;
displaying a **brokerage account** contract; and
securing **online** agreement to said **brokerage account** contract.

8 The system of claim 7, wherein the real-time account opening process further includes:
after securing said online agreement, obtaining funding information relating...

III. Abstract Files from Dialog

A. All Databases

File 344:Chinese Patents Abs Jan 1985-2006/Jan
(c) 2006 European Patent Office
File 347:JAPIO Dec 1976-2009/Nov(Updated 100228)
(c) 2010 JPO & JAPIO
File 350:Derwent WPIX 1963-2010/UD= 201019
(c) 2010 Thomson Reuters
File 371:French Patents 1961-2002/BOPI 200209
(c) 2002 INPI. All rts. reserv.
File 2:INSPEC 1898-2010/Mar W2
(c) 2010 The IET
File 35:Dissertation Abs Online 1861-2010/Feb
(c) 2010 ProQuest Info&Learning
File 65:Inside Conferences 1993-2010/Mar 17
(c) 2010 BLDSC all rts. reserv.
File 99:Wilson Appl. Sci & Tech Abs 1983-2010/Jan
(c) 2010 The HW Wilson Co.
File 474:New York Times Abs 1969-2010/Mar 22
(c) 2010 The New York Times
File 475:Wall Street Journal Abs 1973-2010/Mar 20
(c) 2010 The New York Times
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 Gale/Cengage
* File 583: This file is no longer updating as of 12-13-2002.
File 139:EconLit 1969-2010/Mar
(c) 2010 American Economic Association

Set	Items	Description
S1	309	BROKERAGE()(ACCOUNT OR ACCOUNTS)
S2	38	S1(5N)(AUTOMATED OR ELECTRONIC OR COMPUTERIS? OR COMPUTERI-Z? OR ONLINE)
S3	16954	(MULTIPL? OR MULTI OR PLURAL? OR MANY OR SEVERAL OR NUMERO-US)(5N)(ACCOUNT OR ACCOUNTS)
S4	60	S3(5N)(PRINCIPAL? ? OR MANAGER? ? OR ACCOUNT()MANAGER? ?)
S5	9546	(NEW OR FIRST()TIME)(3N)(ACCOUNT OR ACCOUNTS)
S6	410	S5(5N)(OPEN OR OPENS OR OPENING OR TRANSFER?)
S7	1595	(ENTER OR ENTERS OR ENTERING OR ENTRIES)(5N)(PASSWORD OR P-ASSWORDS)
S8	372115	STATUS
S9	286	S8(5N)(DESIGNATE OR DESIGNATES OR DESIGNATING)
S10	538	S8(5N)(ASSIGN OR ASSIGNS OR ASSIGNING)
S11	94	S8(5N)(APPROVE OR APPROVES OR APPROVING)
S12	408	S8(5N)(DECLINE OR DECLINES OR DECLINING)
S13	1699	AU= (GREENE, D? OR GREENE D? OR MIERZWA, D? OR MIERZWA D? OR ABUKHATER, A? OR ABUKHATER A? OR SIEVERT, M? OR SIEVERT M? OR DAVID(2N)GREENE OR DENNIS(2N)MIERZWA OR AYMAN(2N)ABUKHATER OR MICHAEL(2N)SIEVERT)
S14	0	S2 AND S4

S15 4 S2 AND S3
 S16 0 S15 AND (S6 OR S7)
 S17 0 S15 AND (S9:S12)
 S18 4 RD S15 (unique items)
 S19 1 S1 AND S4
 S20 0 S1 AND (S9:S12)
 S21 2 S5 AND (S9:S12)
 S22 2 RD (unique items)

18/3,K/1 (Item 1 from file: 350)
 DIALOG(R)File 350: Derwent WPIX
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0019929517 - Drawing available
 WPI ACC NO: 2010-A35934/201005
 Related WPI Acc No: 2009-P03825; 2009-Q27248
 Performance index generation method used in investment data sharing system,
 involves generating composite portfolio comprising investment positions of
 each portfolio of each investor of selected set of investors
 Patent Assignee: CAKE FINANCIAL CORP (CAKE-N)
 Inventor: CARPENTER S A; JUNKERGARD S; SHARMA M
 Patent Family (3 patents, 124 countries)
 Patent Application
 Number Kind Date Number Kind Date Update
 WO 2010003137 A1 20100107 WO 2009US49641 A 20090702 201005 B
 US 20100005034 A1 20100107 US 200877852 P 20080702 201005 E
 US 200877853 P 20080702
 US 2009167519 P 20090407
 US 2009420040 A 20090407
 US 2009420043 A 20090407
 US 2009497500 A 20090702
 US 20100005035 A1 20100107 US 200877852 P 20080702 201005 E
 US 200877853 P 20080702
 US 2009167519 P 20090407
 US 2009420040 A 20090407
 US 2009420043 A 20090407
 US 2009497513 A 20090702

Priority Applications (no., kind, date): US 200877852 P 20080702; US
 200877853 P 20080702; US 2009167519 P 20090407; US 2009420040 A
 20090407; US 2009420043 A 20090407; US 2009497500 A 20090702; US
 2009497513 A 20090702

Patent Details

Number Kind Lan Pg Dwg Filing Notes
 WO 2010003137 A1 EN 136 32
 National Designated States,Confirmed: AE AG AL AM AO AT AU AZ BA BB BG BH
 BR BW BY BZ CA CH CL CN CO CR CU CZ DE DK DM DO DZ EC EE EG ES FI GB GD
 GE GH GM GT HN HR HU ID IL IN IS JP KE KG KM KN KP KR KZ LA LC LK LR LS
 LT LU LY MA MD ME MG MK MN MW MX MY MZ NA NG NI NO NZ OM PE PG PH PL PT
 RO RS RU SC SD SE SG SK SL SM ST SV SY TJ TM TN TR TT TZ UA UG US UZ VC
 VN ZA ZM ZW

Regional Designated States, Confirmed: AT BE BG CH CY CZ DE DK EE ES FI FR
GB GR HR HU IE IS IT LT LU LV MC MK MT NL NO PL PT RO SE SI SK SM TR OA
BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW EA

US 20100005034 A1 EN Related to Provisional US 200877852

Related to Provisional US 200877853

Related to Provisional US 2009167519

C-I-P of application US 2009420040

C-I-P of application US 2009420043

US 20100005035 A1 EN Related to Provisional US 200877852

Related to Provisional US 200877853

Related to Provisional US 2009167519

C-I-P of application US 2009420040

C-I-P of application US 2009420043

Original Publication Data by Authority

Argentina

Assignee name & address:

Claims:

...claimed is: **1** . A method comprising: forming an electronic network between a plurality of investors, wherein the electronic network includes a coupling to portfolios and < B> online brokerage

accounts of the **plurality** of

investors; sharing between the plurality of investors via the electronic network at least one of investment data and brokerage data, wherein the investment data...

18/3,K/2 (Item 2 from file: 350)
DIALOG(R)File 350: Derwent WPIX
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0013067780 - Drawing available
WPI ACC NO: 2003-147761/200314
Related WPI Acc No: 2003-156276; 2004-552080
XRPX Acc No: N2003-116712

Electronic product or service acquisition system for real-time screening and profiling, has handler and workers to support event request from several clients routed by dispatcher

Patent Assignee: CHOW C (CHOW-I); DEMIRKAYA C (DEMI-I); KEJRIWAL M K (KEJR-I); STOXEN P (STOX-I)

Inventor: CHOW C; DEMIRKAYA C; KEJRIWAL M K; STOXEN P

Patent Family (1 patents, 1 countries)

Patent

Application

Number	Kind	Date	Number	Kind	Date	Update
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US 20020152106	A1	20021017	US 2001268538	P	20010213	200314 B
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			US 2001268656	P	20010214	
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US 200271615 A 20020205

Priority Applications (no., kind, date): US 2001268538 P 20010213; US 2001268656 P 20010214; US 200271615 A 20020205

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
US 20020152106	A1	EN	12	3	Related to Provisional US 2001268538 Related to Provisional US 2001268656

Alerting Abstract ...NOVELTY - A dispatcher (110) routes event request data from **several** clients (20) to a computerized **account** processing system that facilitates product or service fulfillment of the client. The account processing system comprises a handler (112) that supports the event request of...
...as financial planning service, credit or charge card product, savings and checking accounts, travel services, reward programs, telephone accounts, utility accounts, Internet services, cable services, **online brokerage accounts** by investment, financial **or general service** companies in countries...

Original Publication Data by Authority

Argentina

18/3,K/3 (Item 3 from file: 350)
DIALOG(R)File 350: Derwent WPIX
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0012706716 - Drawing available
WPI ACC NO: 2002-558134/200259
XRPX Acc No: N2002-441786
Electronic bill payment system to enable customer to make payment in a networked environment using credit card
Patent Assignee: BROWN K L (BROW-I); FIRST USA BANK NA (FIRS-N); MANARKY L K (MANA-I)
Inventor: BROWN K L; MANARKY L K
Patent Family (4 patents, 96 countries)
Patent Application

Number	Kind	Date	Number	Kind	Date	Update
WO 2002061536	A2	20020808	WO 2002US2526	A	20020130	200259 B
AU 2002234275	A1	20020812	AU 2002234275	A	20020130	200427 E
US 20040158522	A1	20040812	US 2001264681	P	20010130	200454 E
			US 2001814783	A	20010323	
AU 2002234275	A8	20051006	AU 2002234275	A	20020130	200612 E

Priority Applications (no., kind, date): US 2001264681 P 20010130; US
2001814783 A 20010323

Patent Details

Number Kind Lan Pg Dwg Filing Notes

WO 2002061536 A2 EN 15 4

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY
BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN
IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ
OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA
ZM ZW

Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH
GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW

AU 2002234275 A1 EN Based on OPI patent WO 2002061536

US 20040158522 A1 EN Related to Provisional US 2001264681

AU 2002234275 A8 EN Based on OPI patent WO 2002061536

Alerting Abstract ...NOVELTY - The payment system provides for online receipt and review of bills, and allows a customer to optionally select **several** alternative secondary **accounts** for payment in the event that payment cannot be made from a primary credit account. The secondary account may be another credit account, a checking...

Original Publication Data by Authority

Argentina

Assignee name & address:

Original Abstracts:

...a primary credit account. The secondary account may be another credit account, a checking account a brokerage account, or another type of account. Actual payment may **be** accomplished by **electronic** settlement of a credit transaction, electronic funds transfer, or by printing and physically delivering a paper check. A customer payor using the system and method...
Claims:

18/3,K/4 (Item 4 from file: 350)
DIALOG(R)File 350: Derwent WPIX
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0010894397 - Drawing available

WPI ACC NO: 2001-514819/200156

XRPX Acc No: N2001-381325

Computer implemented separate account service providing method involves providing manager selected by client, with authority to invest client's fund through broker

Patent Assignee: SEPARATE ACCOUNT SOLUTIONS (SEPA-N)

Inventor: DAVIDSON E; FREEMAN K

Patent Family (2 patents, 92 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
WO 2001061593	A1	20010823	WO 2001US3798	A	20010205	200156 B
AU 200138041	A	20010827	AU 200138041	A	20010205	200176 E

Priority Applications (no., kind, date): US 2000182458 P 20000215; US 2000527378 A 20000316; US 2000527382 A 20000316; US 2000527383 A 20000316; US 2000527384 A 20000316; US 2000527486 A 20000316; US 2000549877 A 20000417; US 2000550673 A 20000417; US 2000550688 A 20000417; US 2000749985 A 20001226

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
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WO 2001061593	A1	EN	74	21		
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National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

AU 200138041 A EN Based on OPI patent WO 2001061593

Original Publication Data by Authority

Argentina

Assignee name & address:

Original Abstracts:

...for information system is provided. An ebroker (812), which provides separate account type of ebrokerage account is provided. An online brokerage account, which allows a < B> money manager to place trades for the brokerage account and collect payment from the brokerage account is provided...

Claims:

19/3,K/1 (Item 1 from file: 2)

DIALOG(R)File 2: INSPEC

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09391926

Title: Generation OPM [overlay portfolio management]

Author(s): Santos, M.

Journal: Wall Street & Technology, vol.22, no.11, pp.33-4

Publisher: CMP Media Inc

Country of Publication: USA

Publication Date: Nov. 2004

ISSN: 1060-989X

SICI: 1060-989X(200411)22:11L:33:GOPM;1-G

CODEN: WSTEE5

Language: English
Subfile(s): D (Information Technology for Business)
INSPEC Update Issue: 2005-017
Copyright: 2005, IEE

Abstract: Overlay portfolio management (OPM) takes the concept of **multiple** disciplined **accounts** - in which **multiple** money **managers** use the same **brokerage account** for trading - further by adding an overlay manager to the equation. It's the overlay manager's job to get; individual portfolio models from each...

Identifiers: overlay portfolio management; **multiple** disciplined **accounts**; **multiple** money managers; **brokerage account**; trading; portfolio models; pretrade compliance list; tax efficiency; managed-money spectrum; investment policy; investment rules; investment restrictions; investment management

22/3,K/1 (Item 1 from file: 350)
DIALOG(R)File 350: Derwent WPIX
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0014311238 - Drawing available
WPI ACC NO: 2004-498402/200447
XRPX Acc No: N2004-393653
Accounts distribution system in workflow management system, assigns account to individual based on prior account resolution status and individual resolution history so that individual receive account assignment information and data
Patent Assignee: LAUFFENBURGER P (LAUF-I); TAGUPA J (TAGU-I); VOOTUKURI U (VOOT-I)
Inventor: LAUFFENBURGER P; TAGUPA J; VOOTUKURI U
Patent Family (1 patents, 1 countries)
Patent Application
Number Kind Date Number Kind Date Update
US 20040117277 A1 20040617 US 2002321894 A 20021216 200447 B

Priority Applications (no., kind, date): US 2002321894 A 20021216

Patent Details
Number Kind Lan Pg Dwg Filing Notes
US 20040117277 A1 EN 15 5

Alerting Abstract ...NOVELTY - An assignment module communicating with data storage area storing **account** data having **new/resolved/unresolved account** data, assign an account to an individual based on previous account resolution status and resolution history of individuals. A computing device connected to assignment module...

...ADVANTAGE - Assigns the work to a collector, **monitors** the **status** of the collector's work and allows transfer of work from one collector to another, efficiently...

Original Publication Data by Authority

Argentina

Assignee name & address:

Original Abstracts:

...and method include resolution based account distribution and non-resolution based account distribution. Also included are one or more data storage areas for storing new < B> accounts, resolved

accounts and/or

unresolved **accounts**. In one embodiment, accounts may be distributed according to many factors, for example, individual resolution points, account distribution type, resolution type and priority, some or...

Claims:

...workflow management system, the system comprising: at least one data storage area for storing account data, wherein the account data comprises one or more from **the** group comprising: new

account data, resolved **account** data,

and unresolved **account** data; an

account assignment module in

data communication with the at least one data storage

area and configured to assign an account to an individual based at least in part on a **previous** account resolution

status and a resolution history of a plurality of

individuals; and at least one computing device in data communication with the account assignment module and configured...

22/3,K/2 (Item 2 from file: 350)

DIALOG(R)File 350: Derwent WPIX

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0011000838 - Drawing available

WPI ACC NO: 2001-626012/200172

Related WPI Acc No: 2002-097365; 2002-122367; 2002-216835; 2002-394285;
2002-713725; 2003-028711; 2003-608350; 2003-660901

XRPX Acc No: N2001-466679

System for conducting secure payments over a computer network by use of secure payment application software to allow transmission of a pseudo account number in response to authorization request

Patent Assignee: MASTERCARD INT INC (MAST-N)

Inventor: CAMPBELL C M; HOGAN E J

Patent Family (7 patents, 94 countries)

Patent Application

Number	Kind	Date	Number	Kind	Date	Update
WO 2001069556	A2	20010920	WO 2001US8209	A	20010315	200172 B
AU 200143658	A	20010924	AU 200143658	A	20010315	200208 E
US 20020007320	A1	20020117	US 2000189650	P	20000315	200212 E
			US 2001809367	A	20010315	
EP 1269429	A2	20030102	EP 2001916663	A	20010315	200310 E
			WO 2001US8209	A	20010315	
JP 2003534585	W	20031118	JP 2001567553	A	20010315	200401 E
			WO 2001US8209	A	20010315	
ZA 200207410	A	20040428	ZA 20027410	A	20020916	200432 E
AU 2001243658	B2	20051215	AU 2001243658	A	20010315	200654 E

Priority Applications (no., kind, date): US 2000189650 P 20000315; US 2001809367 A 20010315

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
WO 2001069556	A2	EN	39	6		
National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW						
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW						
AU 200143658	A	EN				Based on OPI patent WO 2001069556
US 20020007320	A1	EN				Related to Provisional US 2000189650
EP 1269429	A2	EN				PCT Application WO 2001US8209
						Based on OPI patent WO 2001069556
Regional Designated States,Original: AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI TR						
JP 2003534585	W	JA	42			PCT Application WO 2001US8209
						Based on OPI patent WO 2001069556
ZA 200207410	A	EN	46			
AU 2001243658	B2	EN				Based on OPI patent WO 2001069556
Original Publication Data by Authority						

Argentina

Assignee name & address:

Claims:

...purchaser a first payment account number having a status which changes over time;(b) providing a second payment account number associated with said first payment **account** number, said second payment account number not being a transaction number and having an encryption key assigned thereto;(c) requesting authorization for payment of said...

23/3,K/1 (Item 1 from file: 350)
 DIALOG(R)File 350: Derwent WPIX
 (c) 2010 Thomson Reuters. All rights reserved.

0012801266 - Drawing available
 WPI ACC NO: 2002-657972/200270

XRPX Acc No: N2002-520156

Securing an ownership interest in a publicly traded corporation e.g. for online trading system, where real-time account opening process is implemented

Patent Assignee: ABUKHATER A (ABUK-I); E* TRADE GROUP INC (ETRA-N); GREENE D (GREE-I); MIERZWA D (MIER-I); SIEVERT M (SIEV-I)

Inventor: **ABUKHATER A**; GREEN D; **GREENE D**; **MIERZWA D**; **SIEVERT M**

Patent Family (4 patents, 98 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
WO 2002073350	A2	20020919	WO 2002US7149	A	20020308	200270 B
US 20020143686	A1	20021003	US 2001802701	A	20010309	200272 E
AU 2002248581	A1	20020924	AU 2002248581	A	20020308	200433 E
AU 2002248581	A8	20051020	AU 2002248581	A	20020308	200615 E

Priority Applications (no., kind, date): US 2001802701 A 20010309

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
--------	------	-----	----	-----	--------	-------

WO 2002073350	A2	EN	23	6		
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National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW

Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW

AU 2002248581	A1	EN		Based on OPI patent	WO 2002073350
---------------	----	----	--	---------------------	---------------

AU 2002248581	A8	EN		Based on OPI patent	WO 2002073350
---------------	----	----	--	---------------------	---------------

Inventor: **ABUKHATER A...**

...**GREENE D...**

...**MIERZWA D...**

...**SIEVERT M**

Original Publication Data by Authority

Argentina

Assignee name & address:

Inventor name & address:

SIEVERT M...

...**MIERZWA D...**

...**ABUKHATER A...**

...**MIERZWA D...**

...**SI EVERT, Michael**

Examiner:

Original Abstracts:

...the contact information; (c) obtaining brokerage account application information; (d) updating the new record with the application information; (e) displaying a brokerage account contract; and (f)

securing online agreement to said

brokerage account contract. The

process preferably also includes

obtaining funding information to automatically initiate a transfer of funds to the brokerage account...

...information; (c) obtaining brokerage account application information;

(d) updating the new record with the application information; (e)

displaying a brokerage account contract; and (f) securing

online agreement to **said**

brokerage account contract. The

process **preferably** also includes obtaining

funding information to automatically

initiate a transfer of funds to the brokerage account...

Claims:

IV. Fulltext Files from Dialog

A. Fulltext Databases

File 625:American Banker Publications 1981-2008/Jun 26
(c) 2008 American Banker

File 268:Banking Info Source 1981-2010/Mar W2
(c) 2010 ProQuest Info&Learning

File 626:Bond Buyer Full Text 1981-2008/Jul 07
(c) 2008 Bond Buyer

File 267:Finance & Banking Newsletters 2008/Sep 29
(c) 2008 Dialog

File 324:GERMAN PATENTS FULLTEXT 1967-201003
(c) 2010 UNIVENTIO/THOMSON

File 348:EUROPEAN PATENTS 1978-201011
(c) 2010 European Patent Office

File 349:PCT FULLTEXT 1979-2010/UB= 20100311|UT= 20100304
(c) 2010 WIPO/Thomson

File 9:Business & Industry(R) Jul/1994-2010/Mar 20
(c) 2010 Gale/Cengage

File 16:Gale Group PROMT(R) 1990-2010/Mar 20
(c) 2010 Gale/Cengage

File 20:Dialog Global Reporter 1997-2010/Mar 22
(c) 2010 Dialog

File 15:ABI/Inform(R) 1971-2010/Mar 20
(c) 2010 ProQuest Info&Learning

File 148:Gale Group Trade & Industry DB 1976-2010/Mar 20
(c) 2010 Gale/Cengage

File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group

File 275:Gale Group Computer DB(TM) 1983-2010/Feb 10
(c) 2010 Gale/Cengage

File 610:Business Wire 1999-2010/Mar 22
(c) 2010 Business Wire.

File 613:PR Newswire 1999-2010/Mar 22
(c) 2010 PR Newswire Association Inc

File 621:Gale Group New Prod.Annou.(R) 1985-2010/Feb 01
(c) 2010 Gale/Cengage

File 636:Gale Group Newsletter DB(TM) 1987-2010/Feb 16
(c) 2010 Gale/Cengage

File 624:McGraw-Hill Publications 1985-2010/Mar 22
(c) 2010 McGraw-Hill Co. Inc

File 634:San Jose Mercury Jun 1985-2010/Mar 19
(c) 2010 San Jose Mercury News

File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire

File 813:PR Newswire 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc

Set	Items	Description
S1	29519	BROKERAGE()(ACCOUNT OR ACCOUNTS)
S2	2799	S1(5N)(AUTOMATED OR ELECTRONIC OR COMPUTERIS? OR COMPUTERI-Z? OR ONLINE)

S3 229851 (MULTIPL? OR MULTI OR PLURAL? OR MANY OR SEVERAL OR NUMERO-
US)(5N)(ACCOUNT OR ACCOUNTS)
S4 5410 S3(5N)(PRINCIPAL? ? OR MANAGER? ? OR ACCOUNT()MANAGER? ?)
S5 329029 (NEW OR FIRST()TIME)(3N)(ACCOUNT OR ACCOUNTS)
S6 27028 S5(5N)(OPEN OR OPENS OR OPENING OR TRANSFER?)
S7 30473 (ENTER OR ENTERS OR ENTERING OR ENTRIES)(5N)(PASSWORD OR P-
ASSWORDS)
S8 3564085 STATUS
S9 1552 S8(5N)(DESIGNATE OR DESIGNATES OR DESIGNATING)
S10 2967 S8(5N)(ASSIGN OR ASSIGNS OR ASSIGNING)
S11 2596 S8(5N)(APPROVE OR APPROVES OR APPROVING)
S12 4098 S8(5N)(DECLINE OR DECLINES OR DECLINING)
S13 252 AU= (GREENE, D? OR GREENE D? OR MIERZWA, D? OR MIERZWA D? OR
ABUKHATER, A? OR ABUKHATER A? OR SIEVERT, M? OR SIEVERT M? OR
DAVID(2N)GREENE OR DENNIS(2N)MIERZWA OR AYMAN(2N)ABUKHATER OR
MICHAEL(2N)SIEVERT)
S14 0 S2(S)S4
S15 92 S2(S)S3
S16 1 S15(S)S6
S17 0 S15(S)S7
S18 1 S15 AND S7
S19 1 S18 NOT S16
S20 0 S15(S)(S9:S12)
S21 0 S15 AND (S9:S12)
S22 14 S5(S)(S9:S12)
S23 14 RD (unique items)
S24 14 RD (unique items)
S25 5 S24 NOT PY> 2001
S26 0 S13(S)S2
S27 1 S13 AND S2

16/3,K/1 (Item 1 from file: 20)
DIALOG(R)File 20: Dialog Global Reporter
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68094069 (USE FORMAT 7 OR 9 FOR FULLTEXT)
A good time to take the online plunge?: It's easy, and there may be great
bargains out there, but experts urge caution
Lesley Mitchell
MCCLATCHY-TRIBUNE REGIONAL NEWS - THE SALT LAKE TRIBUNE - UTAH
November 17, 2008
JOURNAL CODE: KSLT LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 1107

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... trading account
--Look for promotions. Several companies have recently offered bonuses
of at least \$50 or a specified number of no-fee trades for those
opening new accountsG new Sharebuilder
Online Brokerage Account<
> a \$55 bonus after their first trade.

--Shop around. Fees vary. Some brokerages charge fees if accounts are inactive for a specified time. Compare the...

19/3,K/1 (Item 1 from file: 349)
DIALOG(R)File 349: PCT FULLTEXT
(c) 2010 WIPO/Thomson. All rights reserved.

01923320 **Image available**

SYSTEMS AND METHODS FOR PROVIDING INVESTMENT PERFORMANCE DATA TO INVESTORS
SYSTEMES ET PROCEDES POUR FOURNIR DES DONNEES DE PERFORMANCE
D'INVESTISSEMENT A DES INVESTISSEURS

Patent Applicant/Assignee:

CAKE FINANCIAL CORPORATION, 500 Third Street, Suite 260, San Francisco,
CA 94107, US, US (Residence), US (Nationality), (For all designated
states except: US)

Patent Applicant/Inventor:

CARPENTER Steven A, 500 Third Street, Suite 260, San Francisco, CA 94107,
US, US (Residence), US (Nationality), (Designated only for: US)
SHARMA Manu, 500 Third Street, Suite 260, San Francisco, CA 94107, US, US
(Residence), IN (Nationality), (Designated only for: US)
JUNKERGARD Sven, 500 Third Street, Suite 260, San Francisco, CA 94107, US
, US (Residence), SE (Nationality), (Designated only for: US)

Legal Representative:

GREGORY Richard L et al (agent), Courtney Staniford & Gregory LLP,
P.o.box 9686, San Jose, CA 95157, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 201003137 A1 20100107 (WO 1003137)
Application: WO 2009US49641 20090702 (PCT/WO US2009049641)
Priority Application: US 2009420040 20090407; US 2009167519 20090407; US
200877852 20080702; US 2009420043 20090407; US 200877853 20080702

Designated States:

(All protection types applied unless otherwise stated - for applications
2004+)

AE AG AL AM AO AT AU AZ BA BB BG BH BR BW BY BZ CA CH CL CN CO CR CU CZ
DE DK DM DO DZ EC EE EG ES FI GB GD GE GH GM GT HN HR HU ID IL IN IS JP
KE KG KM KN KP KR KZ LA LC LK LR LS LT LU LY MA MD ME MG MK MN MW MX MY
MZ NA NG NI NO NZ OM PE PG PH PL PT RO RS RU SC SD SE SG SK SL SM ST SV
SY TJ TM TN TR TT TZ UA UG US UZ VC VN ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LT LU LV MC
MK MT NL NO PL PT RO SE SI SK SM TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 39509

Fulltext Availability:

Detailed Description
Claims

Detailed Description

... from a variety of accounts. For example, Quicken provides access to approximately 2,900 participating financial institutions Both Quicken and Money allow a user to **enter** in their username and **passwords** and automatically download transaction and balance information from those accounts. Further, many of these financial institutions allow users to download "Web Connect" data directly from...

...portfolio data into the IDSS via a member portal or access point The IDSS also supports automatic data transfer operations For example, a user can **enter** the username and **password** to each financial institution account (e.g., third-party brokerage account, etc) that stores the member's online investment data; components of the IDSS will...described herein include a method comprising forming an electronic network between a plurality of investors, wherein the electronic network includes a coupling to portfolios and **online** brokerage **accounts** of the **plurality** of investors. The method of an embodiment comprises sharing between the plurality of investors via the electronic network at least one of investment data and...

...described herein include a system comprising a processor coupled to a trading application programming interface (API), wherein the trading API is coupled to portfolios and **online** brokerage **accounts** of a **plurality** of investors, the processor sharing between the plurality of investors via the electronic network at least one of investment data and brokerage data, wherein the...

Claim

... investment position

108. A method comprising: forming an electronic network between a plurality of investors, wherein the electronic network includes a coupling to portfolios and **online** brokerage **accounts** of the **plurality** of investors, sharing between the plurality of investors via the electronic network at least one of investment data and brokerage data, wherein the investment data...brokerage data 157 A system comprising a processor coupled to a trading application programming interface (API), wherein the trading API is coupled to portfolios and **online** brokerage **accounts** of a **plurality** of investors, the processor sharing between the plurality of investors via the electronic network at least one of investment data and brokerage data, wherein the...

25/3,K/1 (Item 1 from file: 267)
DIALOG(R)File 267: Finance & Banking Newsletters
(c) 2008 Dialog. All rights reserved.

00000388

BANK OF MONTREAL BETA-TESTING IRPA
ITEM PROCESSING REPORT

March 14, 1996 VOL: 7 ISSUE: 5 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH WORD COUNT: 1164 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...an integrated system
by 1997.

IRPA Offers Improved Workflow

Farmer hopes IRPA will enable Bank of Montreal to reduce the time needed to set-up **new accounts**; improve its workflow; and beef-up its customer service.

"IRPA's parameter-driven functionality will allow us to implement **new** client **accounts** up to three months faster," he estimates, noting that the system enables "computer literate" employees to perform most lockbox implementations.

Farmer said that the bank's conventional remittance system requires programmers to set up **new** lockbox **accounts**, which can take up to three months.

IRPA's parameter-driven functionality also should slash the time needed to change lockbox set ups or ...to streamline its workflow, Farmer said: "The system has some good workflow management tools." For instance, supervisors could use personal computers (PCs) to check the **status** of work, to **assign** priorities to batches or schedule work.

Farmer says he isn't bothered by the workflow problems Unisys encountered at its original beta site for the...

25/3,K/2 (Item 1 from file: 349)

DIALOG(R)File 349: PCT FULLTEXT

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00777022

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR AN E-COMMERCE BASED
ARCHITECTURE

SYSTEME, PROCEDE ET ARTICLE DE PRODUCTION POUR UNE ARCHITECTURE BASEE SUR
LE COMMERCE ELECTRONIQUE

Patent Applicant/Assignee:

AC PROPERTIES BV, Parkstraat 83, NL-2514 JG 'S Gravenhage, NL, NL
(Residence), NL (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

UNDERWOOD Roy A, 4436 Hearthmoor Court, Long Grove, IL 60047, US, US
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

HICKMAN Paul L (et al) (agent), Hickman Coleman & Hughes, LLP, P.O.
Box 52037, Palo Alto, CA 94303-0746, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200109794 A2-A3 20010208 (WO 0109794)

Application: WO 2000US20704 20000728 (PCT/WO US0020704)

Priority Application: US 99364734 19990730

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH GM
HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX
NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 122424

Fulltext Availability:

Detailed Description

Detailed Description

... grid layout for form elements)

197

Retrieve all decode values

Maintain Codes Table

Update single Code/Decode

Update all Codes/Decodes

Set Table Name

Add **new** Code/Decode

Remove Code/Decode

Add Table

Remove Table

Base Services

Base Services provide server-based support for delivering applications to
a wide variety of...

25/3,K/3 (Item 2 from file: 349)

DIALOG(R)File 349: PCT FULLTEXT
(c) 2010 WIPO/Thomson. All rights reserved.

00777011 ** Image available**

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A CODES TABLE FRAMEWORK
DESIGN IN AN E-COMMERCE ARCHITECTURE

SYSTEME, PROCEDE ET ARTICLE FABRIQUE POUR LA CONCEPTION D'UNE STRUCTURE DE
TABLES DE CODES DANS UNE ARCHITECTURE DE COMMERCE ELECTRONIQUE

Patent Applicant/Assignee:

AC PROPERTIES BV, Parkstraat 83, NL-2514 JG 'S Gravenhage, The Hague, NL,
NL (Residence), NL (Nationality), (For all designated states except:
US)

Patent Applicant/Inventor:

UNDERWOOD Roy A, 4436 Hearthmoor Court, Long Grove, IL 60047, US, US
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

HICKMAN Paul L (agent), Hickman Coleman & Hughes, LLP, P.O. Box
52037, Palo Alto, CA 94303, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200109716 A2-A3 20010208 (WO 0109716)

Application: WO 2000US20705 20000728 (PCT/WO US0020705)

Priority Application: US 99364491 19990730

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH GM
HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX
NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 136146

Fulltext Availability:

Detailed Description

Detailed Description

... Authentication). Windows NT Authentication is most useful for intranet
sites 3 5 where one wish to leverage existing accounts and use the
Windows NT Security **Accounts**

243

Manager (SAM) for authentication. However, Membership Authentication is a
good choice for Internet sites, especially sites in which the number of
users may increase...

25/3,K/4 (Item 3 from file: 349)
DIALOG(R)File 349: PCT FULLTEXT
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00548213 ** Image available**

SYSTEM AND METHOD FOR SYSTEM TO SYSTEM CREDIT INFORMATION TRANSMISSION
SYSTEME ET PROCEDE PERMETTANT DE TRANSMETTRE DES INFORMATIONS DE CREDIT DE
SYSTEME A SYSTEME

Patent Applicant/Assignee:

EQUIFAX INC,

Inventor(s):

WALLACE David L,

HAMMOND Marguerite Anne,

HEADLEY Judy,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200011586 A1 20000302 (WO 0011586)

Application: WO 99US18725 19990819 (PCT/WO US9918725)

Priority Application: US 9897329 19980820; US 99376294 19990818

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE
GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK
MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU
ZA ZW GH GM KE LS MW SD SL SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH
CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW
ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 15007

Fulltext Availability:

Detailed Description

Claims

Claim

... identification record,
consumer or commercial base record, all
subsequent records, and a total record. The
byte count must include one extra byte per
record to **account** for the **new** line
delimiters.

LANGUAGE TYPE CODE 3 410-412 AN 0

Designates the language type.

RESERVED 25 413-437 N 0

Blank fill.

IFILLER 38-447...R

Contains the year the business was started. Format is YYYY. If not used,
zero.

OPERATING STATUS CODE 6 7-12 AN R

Used to **designate** the operating
status of a

business. See Appendix A for a list of valid
@0
lco US. 1
DATE OF INCORPORATION 8 13-20 N R
Contains the...

25/3,K/5 (Item 1 from file: 15)
DIALOG(R)File 15: ABI/Inform(R)
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01148722 97-98116
Engineers and economic development in Greece
Patrinos, Harry A; Lavoie, Marie
International Journal of Manpower v16n10 PP: 39-56 1995
JRNL CODE: IJM
WORD COUNT: 5675

...TEXT: conditions of appropriability allowing for the exploitation of
these opportunities.

The results of this study show a decline in the construction sector
followed by a **decline** in the **status**
of the engineer in Greece. It has also been shown, on the basis of the
revealed technological advantage, that Greece has developed some
technological strengths...

...maintains significant technological weaknesses. These results imply that
policy makers should attempt to create incentives that would influence the
National System of Innovation into taking **account** of the
new technological reality, not only in the context of
the European Community, but also in terms of the globalization process
which requires the existence of strong...

27/3,K/1 (Item 1 from file: 349)
DIALOG(R)File 349: PCT FULLTEXT
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00939226 **Image available**
ONLINE TRADING SYSTEM HAVING REAL-TIME ACCOUNT OPENING
SYSTEME COMMERCIAL EN LIGNE DOTE D'UNE OUVERTURE DE COMPTE EN TEMPS REEL
Patent Applicant/Assignee:
E* TRADE GROUP INC, 4500 Bohannon Drive, Menlo Park, CA 94025, US, US
(Residence), US (Nationality)
Inventor(s):
GREENE David, 1818 Church Street,
San Francisco, CA 94131, US,

MIERZWA Dennis, 570 Dover Drive,
Walnut Creek, CA 94598, US,

ABUKHATER Ayman, 22066 Hibiscus
Drive, Cupertino, CA 95014, US,

SIEVERT Michael, 17705 Vista
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Detailed Description

Claims

English Abstract

...account database for the contact information (404); (c) obtaining
brokerage account application information; (d) updating the new record
with the application information; (e) displaying a

brokerage account contract; and (f)

securing **online** agreement to said

brokerage account contract. The

process preferably also includes obtaining funding information to
automatically initiate a transfer of funds to the brokerage account
(608).

Detailed Description

... investors to participate in the stock market, and consumer response to the availability of online trading has been phenomenal. In 1999 alone, the number of **online brokerage accounts** grew by over 100%, so that by the end of 1999 there were well over 10 million **online brokerage accounts**. The increased number of investors and increased trading volume has encouraged many brokerages to compete for market share by reducing trading costs. Of course, brokerages...

...brokerage account database for the contact information; (c) obtaining brokerage account application information; (d) updating the new record with the application information; (e) displaying a **brokerage account** contract; and (f) securing **online** agreement to said **brokerage account** contract. The process preferably also includes obtaining funding information to automatically initiate a transfer of funds to the brokerage account.

The present invention further contemplates...

Claim

... in the brokerage account database reflecting said contact information;
obtaining brokerage account application information;
- 15
updating said new record in accordance withTgAd information;
displaying a **brokerage account** contract; and
securing **online** agreement to said **brokerage account** contract.

8 The system of claim 7, wherein the real-time account opening process further includes:
after securing said online agreement, obtaining funding information relating...

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V. Additional Resources Searched

0 results